

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. 210121.470C11	APPLICATION NO. 10/076,622
INFORMATION & DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANTS Raymond L. Houghton et al.	
				FILING DATE February 13, 2002	GROUP ART UNIT 1645 1635

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JJ	AA	6,004,756	12/21/99	Watson et al.	435	6	
	AB	5,986,170	11/16/99	Subjeck	800	2	
	AC	5,968,754	10/19/99	Watson et al.	435	7.23	
	AD	5,922,836	07/13/99	Watson et al.	530	300	
	AE	5,891,857	04/06/99	Holt et al.	514	44	
	AF	5,855,889	01/05/99	Watson et al.	424	185.1	RECEIVED APR 22 2002
	AG	5,668,267	09/16/97	Watson et al.	536	23.5	
JK	AH	5,240,856	08/31/93	Goffe et al.	435	29	PECH CENTER 1600/2900
	AI	5,215,926	06/01/93	Etchells, III et al.	436	501	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
JK	AJ	WO 01/47959	07/05/01	WIPO				
	AK	WO 01/37779	05/31/01	WIPO				
	AL	WO 00/73801	12/07/00	WIPO				
	AM	WO 00/60076	10/12/00	WIPO				
	AN	WO 00/43420	07/27/00	WIPO				
JK	AO	WO 00/08210	02/17/00	WIPO				
	AP	WO 99/09155	02/25/99	WIPO				

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AQ		Chang and Shu, "Current status of adoptive immunotherapy of cancer," <i>Critical Reviews in Oncology/Hematology</i> 22(3):213-228, April 1996.
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EXAMINER	<i>Janet L. Eggersford</i>	DATE CONSIDERED	<i>3-24-03</i>
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* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449 (REV.7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.470C11	APPLICATION NO. 10/076,622
INFORMATION DISCLOSURE STATEMENT <small>(Use several sheets if necessary)</small>		APPLICANTS			
		Raymond L. Houghton et al.		FILING DATE February 13, 2002	
<u>1635</u>					

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<i>JL</i>	BB	WO 98/54963	12/10/98	WIPO				
<i>JL</i>	BC	WO 98/33915	08/06/98	WIPO			<i>RECEIVED</i>	
	BD	WO 98/21331	05/22/98	WIPO			<i>APR 22 2002</i>	
	BE	WO 96/29430	09/26/96	WIPO			<i>TECH CENTER 1600/2900</i>	
	BF	WO 92/07243	04/30/92	WIPO				
<i>JL</i>	BG	WO 91/16116	10/31/91	WIPO				
<i>JL</i>	BH	WO 89/06280	07/13/89	WIPO				

OTHER PRIOR ART (*Including Author, Title, Date, Pertinent Pages, Etc.*)

<i>JL</i>	BI	Cheever and Chen, "Therapy with cultured T cells: principles revisited," <i>Immunological Reviews</i> , 157: 177-194, 1997.
<i>JL</i>	BJ	Cheever et al., "Potential uses of interleukin 2 in cancer therapy," <i>Immunobiol</i> , 172:365-382, 1986.
	BK	Chen et al., "T-cells for tumor therapy can be obtained from antigen-loaded sponge implants," <i>Cancer Research</i> 54(4):1065-1070, February 15, 1994.
	BL	Cole et al., "Characterization of the functional specificity of a cloned T-cell receptor heterodimer recognizing the MART-1 melanoma antigen," <i>Cancer Research</i> , 55:748-752, February 15, 1995.
<i>JL</i>	BM	Durrant L., "Cancer vaccines," <i>Anti-Cancer Drugs</i> , 8:727-733, 1997.

EXAMINER	<i>Jane L. Zook-Forcl</i>	DATE CONSIDERED	<i>3-4-03</i>
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	CB						

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

<i>JL</i>	CC	Eshhar Z., "Tumor-specific T-bodies: toward clinical application," <i>Cancer Immunol Immunother</i> , 45:131-136, 1997.	RECEIVED APR 22 2002 TECH CENTER 1600/2900
	CD	GenBank Accession Number AC069200, May 24, 2000.	
	CE	GenBank Accession Number AF269087, March 28, 2001.	
	CF	GenBank Accession Number AAK27325, March 28, 2001.	
	CG	GenBank Accession No. AA864891, February 20, 1998.	
	CH	GenBank Accession No. AA398925, April 25, 1997.	
	CI	GenBank Accession Number AL359312, December 7, 2001.	
	CJ	Geneseq Accession Number V84525 (10 December 1998).	
	CK	Hwu et al., "In vivo antitumor activity of T cells redirected with chimeric antibody/T-cell receptor genes," <i>Cancer Research</i> , 55:3369-3373, August 1, 1995.	
<i>JL</i>	CL	Jäger et al., "Identification of a tissue-specific putative transcription factor in breast tissue by serological screening of a breast cancer library," <i>Cancer Research</i> 61(5):2055-2061, March 1, 2001.	

EXAMINER

Janet L. Eggle-Tord

DATE CONSIDERED

3-5-05

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O P E APR 18 2002 SC32 INFORMATION DISCLOSURE STATEMENT <small>(Several sheets if necessary)</small>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.470C11	APPLICATION NO. 10/076,622
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

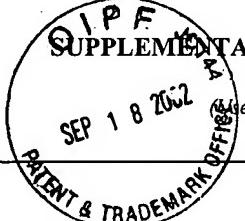
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	DE						

OTHER PRIOR ART (*Including Author, Title, Date, Pertinent Pages, Etc.*)

<i>JL</i>	DF	Porter-Jordan and Lippman, "Overview of the biologic markers of breast cancer," <i>Breast Cancer</i> 8:(1):73-100, February 1994.
<i>JL</i>	DG	Prilliman et al., "HLA-B15 peptide ligands are preferentially anchored at their c termini," <i>The Journal of Immunology</i> 162(12):7277-7284, June 15, 1999.
<i>JL</i>	DH	Sulston et al., "Toward a complete human genome sequence," <i>Genome Research</i> 8(11):1097-1108, 1998.
<i>JL</i>	DI	Stratagene 1991 product catalog, Prime-It TM Random Labeling Kit, catalog number 300387, page 66.
<i>JL</i>	DJ	Wei et al., "Protection against mammary tumor growth by vaccination with full-length, modified human ErbB-2 DNA," <i>Int. J. Cancer</i> , 81:748-754, 1999.
	DK	

EXAMINER	DATE CONSIDERED
<i>Janet L. Eggers-Ford</i>	3-5-03
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I.D.S
Sheet 1 of 1

FORM PTO-1449 (REV.7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.470C11	APPLICATION NO. 10/076,622
 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT <i>(use several sheets if necessary)</i>				APPLICANTS Raymond L. Houghton et al.	
		FILING DATE February 13, 2002	GROUP ART UNIT 1645 / 635		

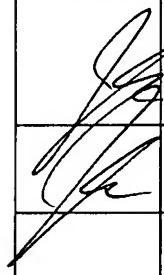
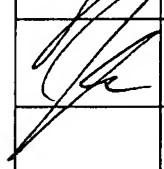
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AA						
AB						
AC						RECEIVED
AD						SEP 20 2002
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AG						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
				YES NO
AH				
AI				
AJ				
AK				
AL				
AM				

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AN	GenBank Accession Number AL157387, February 18, 2000.
	AO	GenBank Accession Number AC036170, April 9, 2000.
	AP	

EXAMINER

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3-4-03

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